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# On Restoration of the Actual Surface Anchoring Potential

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An analysis of various options to restore the actual shape of the surface anchoring potential from the experimental measurements at chiral liquid crystals (CLC) and independently of any model is performed. It is shown that if the surface anchoring is sufficiently weak the restoration of the actual shape of the surface anchoring potential is achievable and there are some options to do this. The simplest option relates to the optical measurements at homogeneous layers of CLC under variation of some external parameter. Another possibility is connected with studying of the temporal development of the pitch jumps which occur in a homogeneous layer at some critical value of the external parameter. Additional options are presented by layers with nonsingular wall in the director distribution dividing two area differing by the pitch value: the director distribution in the wall is directly dependent on the surface anchoring potential. If a wall dividing two areas differing by the pitch value moves the motion velocity is also dependent on the surface anchoring potential and the corresponding measurements also may be applied for the restoration of the surface anchoring potential. The formulas for deriving of the angular derivative of the surface anchoring potential from the measurements related to the mentioned options are presented. The angular range of this derivative determination for the various studied options is estimated. The available experimental data are used to estimate the potential derivative and compare it with the derivative for the known model surface anchoring potentials.

Keywords: director dynamics; nonsingular walls; pitch jumps; surface anchoring

#### INTRODUCTION

Recently the problem of restoration of the actual shape of the surface anchoring potential [1] for liquid crystals (LC) again has attracted interest of the researchers [2–5]. It was partially due to the achievements in obtaining of a very low surface anchoring [6,7] and to the development

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of a new direct method of visualization of the director distribution in bulk of liquid crystals [8,9]. The surface anchoring reveals itself in jumps and in hysteresis of the director distribution in LC under continuous variations of some external agents applied to LC. These may be the temperature [10], applied electric or magnetic field [11], mechanical twisting of LC [12], thickness of the LC layer [13] and so on.

Traditionally [14] it is accepted that the surface anchoring is described by the Rapini-Papoular (R-P) model surface anchoring potential [15]. If the anchoring is strong and, consequently, the director deviations from the easy direction (which is predetermined by the rubbing [1] or recently developed photo alignment [7] procedure) are small the R-P potential suites excellently for the description of the surface anchoring because it is quadratic on the director deviation angles at small deviation angles (as it should be for any reasonable surface anchoring potential). However, if the deviation angles are large it may be not the case. Just the mentioned above director jumps may occur at large director deviations from the easy direction, where the surface anchoring potential may be not quadratic on the director deviation angles and, in particular, may differ from the R-P potential.

It happens that if the anchoring is sufficiently weak (more accurate, some dimensionless parameter of the problem is sufficiently large) the mentioned phenomena become sensitive to the shape of the surface anchoring potential. A similar sensitivity to the shape of actual surface potential is revealed by the director distribution in nonsingular walls in LC layers [4] and their motion in LC layers [4,16]. The mentioned above jumps of the director distribution in LC, naturally, arise with duration and temporal development dependent on the shape of actual surface potential, as shown in [3]. The most natural mechanism of the jump-like director configuration transformations at weak anchoring, which is assumed here, is director slipping at the surface over the potential barrier of anchoring forces [17,18]. At strong anchoring the jump-wise transformation may proceed via formation of defects in the director distribution in LC and, in particular, via director deviations from the plane of the original director distribution [1]. Below possible ways to restore the actual surface anchoring potential from experiments at weak surface anchoring are analyzed and some recommendations for the corresponding possible experiments are presented.

## STATIC DIRECTOR CONFIGURATIONS

# Plane Layer of Fixed Thickness

At the beginning consider static director configurations in LC and their relation to the surface anchoring potential. For definiteness consider a plane cholesteric layer with an infinite anchoring at one its surface and finite anchoring at the second one and following to [17] take as a variable parameter the temperature T. The expression for the free energy of a homogeneous layer with unite surface accepts the following form

$$F(\varphi, T) = W_s(\varphi) + (K_{22}/2d)[\varphi - \varphi_0(T)]^2, \tag{1}$$

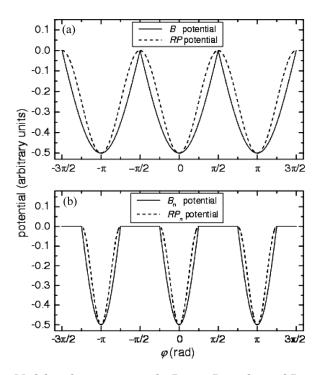
where  $\varphi$  is the director deviation angle from the alignment (easy) direction at the surface with finite anchoring,  $W_s(\varphi)$  is the surface anchoring potential,  $K_{22}$  is the elastic twist modulus, d is the layer thickness. The angle  $\varphi_0(T)$  gives the angle of the director deviation from the alignment direction at the surfaces with finite anchoring if the anchoring at this surface were absent at all, i.e. the free rotation angle determined by the temperature variations of the pitch in a bulk cholesteric. Note that the surface anchoring potential  $W_s$  is dependent generally on the azimuthal and polar angles [1]. In our case only the dependence of the anchoring potential on the azimuthal angle  $\varphi$  is essential so it will be assumed below that the polar angle does not change at all and the surface anchoring potential  $W_s$  depends on  $\varphi$  only. The above assumption is definitely acceptable if the polar anchoring is much stronger than the azimuthal one (what is quite frequently the case [6,7]).

The equation determining the director deviation from the easy direction at the surface with finite anchoring may be easily found by minimization of the free energy given by Eq. (1) [17]:

$$\partial \mathbf{w}(\varphi)/\partial \varphi + \mathbf{S}_{\mathbf{d}}[\varphi - \varphi_0(\mathbf{T})] = 0, \tag{2}$$

where, to conserve the previous notations [2–4,12,13,19–21], we present the surface anchoring potential in the standard form (like Rapini-Papoular [15] and B-potentials [4,20] models) introducing a normalized function  $w(\phi)\colon W_s(\phi)=Ww(\phi)$  where W (the doubled depth of the anchoring potential well) is some constant of surface energy dimensionality,  $w(\pi/2)-w(0)=1/2$  and the dimensionless parameter  $S_d=K_{22}/Wd$ . Figure 1 presents  $w(\phi)$  for Rapini-like (R-P) and B-like (B) models of surface anchoring potential.

If one accepts that  $\varphi_0(T)$  is known (from the pitch temperature dependence for a bulk cholesteric) experimental measurements of the angle  $\varphi$  as a function of  $\varphi_0$  allow to determine the angular derivative of the surface anchoring potential  $dW_s(\varphi)/d\varphi$  using Eq. (2) (it is naturally assumed that the elastic twist modulus  $K_{22}$  and the layer thickness d are known). Because the anchoring potential is, in principle, determined relative to the arbitrary level, i.e. it may include



**FIGURE 1** Model anchoring potentials: Rapini-Papoular and B-potentials (a) and narrow Rapini-Papoular and B-like potentials (b).

an arbitrary constant, the measured angular derivative of the surface anchoring potential (or the difference of the potential for two values of  $\varphi$ ) is complete information accessible from the experiment on the surface anchoring potential. Restoration of the potential itself assumes performing of integration of the measured data (and adding of the mentioned constant relevant to the specific experimental situation). For example, in the experiments [9,11] the measurements of the angle  $\varphi$  only were performed and calculation of the angular derivative of the surface anchoring potential was not done, may be, due to the believe that the actual surface anchoring potential is presented by Rapini-Papoular potential [15].

# Mechanical Twisting of a Layer

May be, from the experimental point of view, an option of surface anchoring potential restoration connected with a mechanical rotation of the plate limiting a cholesteric layer around of the layer normal [12] looks as more simple. The corresponding free energy dependence on the rotation is given by the expression

$$F(\varphi, \varphi_0) = W_s(\varphi) + (K_{22}/2d)[\varphi_0 + \varphi]^2,$$
 (3)

where  $\varphi_0$  is the plate rotation angle (In the derivation of Eq. (3) it was assumed that the temperature is constant in the course of the plate rotation and at the beginning of the rotation the director was oriented along the easy direction, i.e.  $\varphi_0(T)=0$  in Eq. (2)). Under this assumption the angle  $\varphi$  may be found from the conditions of minimum of the free energy (3) what gives the following equations for  $\varphi$ :

$$\partial w(\phi)/\partial \phi + S_d(\phi + \phi_0) = 0 \eqno(4)$$

Now the angle  $\phi+\phi_0$  determines an additional rotation of the director at the surface caused by the plate rotation. In the case of nematic [12], for example,  $\phi+\phi_0$  is simply the twist of nematic due to the rotation of a plate.

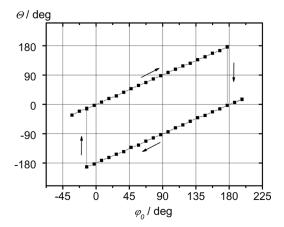
The analysis of the Eq. (4) shows that a smooth changing of the director deviation angle from the easy direction  $\varphi$  and, consequently, a smooth changing of the additional rotation of the director at the surface are possible while the modulus  $\varphi$  is less than some critical angle  $\varphi_c$  determining the instability point relative to a cholesteric spiral twisting of the initial director configuration. Upon achieving by  $\varphi$  of the critical value  $\varphi_c$  a jump-like change of the pitch occurs and the transition to a new configuration of the director in the layer differing by one in the number of the director half-turns N in the layer occurs.

The critical angle  $\varphi_c$  is determined by the solution of Eq. (4), which also satisfies the following relation

$$\partial^2 w(\phi)/\partial^2 \phi + S_d = 0 \tag{5}$$

As it is known [3,17] the value of critical angle in the general case is dependent on the shape of anchoring potential and the anchoring strength at the both surfaces.

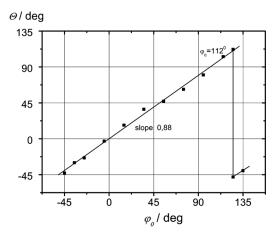
So, the restoration of the actual surface anchoring potential up to the critical angle  $\varphi_c$  at the case of mechanical rotation of the plate may be performed by insertion of the measured values  $\varphi$  and  $\varphi_0$  in Eq. (4). Really, as was mentioned above, the Eq. (4) determines the derivative of the anchoring potential and if  $S_d$  is known the potential itself may be restored by integration of the found derivative. Otherwise the measured  $\varphi$  versus  $\varphi_0$  allows restore the shape of the potential, i.e. the potential multiplied by an unknown factor. Figures 2–4 present the measured dependence of the nematic layer twist versus rotation of the plate limiting the layer [12].



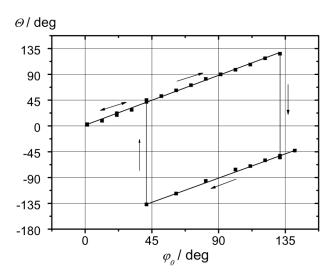
**FIGURE 2** Twisting of nematic layer ( $\theta$  is the director angle at surface relative to the initial easy direction) versus plate rotation in the case of strong anchoring on both walls. Sample thickness 20.5 µm [12].

# Layer of Variable Thickness

We shall study below the restoration of the actual surface anchoring potential when a varying external agent is the thickness of the planar CLC layer [13]. The simplest experimental way to study what is happening with the director distribution in the layer at continuous



**FIGURE 3** Director twist as function of the plate rotation in the case of weak anchoring on one plate and strong anchoring on the other. Sample thickness is 6 µm [12].



**FIGURE 4** Hysteresis of the director twist  $\theta$  in the case of weak anchoring at one of the plate. Sample thickness is  $6 \, \mu m$  [12].

variation of the layer thickness is investigation of the phenomenon in a wedge cell.

Before proceed to a wedge shape sample examine the restoration procedure for a planar cholesteric layer with a finite strength of the surface anchoring under the changing of its thickness and fixed all other parameters of the problem. Specifically, we shall examine again the case of a planar layer with a finite anchoring strength at one of its surfaces and infinite anchoring at the other. Assume that the alignment directions are coinciding at the both surfaces and, as above, assume that the pitch jump mechanism is connected with the director overcoming the anchoring barrier at the surface.

The free energy of a homogeneous layer is presented again by Eq. (1) however the equation determining  $\varphi$  accepts the following form (different from (2)):

$$\partial \mathbf{w}(\varphi)/\partial \varphi + (\mathbf{S_d}\varphi - 2\pi \mathbf{l_p}) = 0. \tag{6}$$

Note that the parameter  $S_d$  is fixed for the problem with unchanged layer thickness and is variable in the present case, so a new dimensionless parameter  $l_p = L_p/p$  was naturally introduced [13] in Eq. (6), where  $L_p = K_{22}/W$  is, so called, the penetration length [1] and p is the pitch value for a bulk CLC.

Like in the case of a fixed layer thickness the solution of Eq. (6) is a smooth function of the thickness d (or parameter  $S_d$ ) in some ranges of

the thickness d with abrupt jumps of  $\varphi$  at definite thicknesses of the layer for which  $\varphi$  reaches the critical value  $\varphi_c$ . So, the derivative of the potential  $dw(\varphi)/d\varphi$  may be found from Eq. (6) up to the angle  $\varphi_c$  via substitution of  $l_p$ ,  $S_d$  and the measured values of  $\varphi$  for each value of the thickness d in this equation.

The simplest way to change the thickness in the experiment is to perform the experiment at a wedge shape cell. If the wedge angle is small enough the formulas for a layer may be locally applied to the wedge. It means that Eqs. (1, 6) locally hold for a wedge and the potential restoration procedure is the same as for a layer. It means that one has to measure  $\varphi$  as a function of the distance from the apex of the wedge and for determination of  $dw(\varphi)/d\varphi$  substitute  $l_p$ ,  $S_d$  and the measured value of  $\varphi$  in Eq. (6). Note that the range of  $dw(\varphi)/d\varphi$ determination in a wedge is less than in a layer and is limited not by the angle  $\varphi_c$  but the equilibrium angle  $\varphi_e$ , the angle corresponding to the position of the wall in a wedge limiting the Cano-Granjean zone, which is less than the angle  $\varphi_c$  and is decreasing with increasing of the distance from the apex of the wedge for consecutive Cano-Granjean zones [13]. The walls dividing Cano-Granjean zones in the wedge [1] demand a special examination on their informativity on the surface anchoring potential (see below).

If the parameter  $S_d$  or  $l_p$  are known the measured values of  $\phi$  versus  $\phi_0$  determine  $dW_s(\phi)/d\phi$  for the actual surface anchoring potential. However the situation which demands determination of the potential shape simultaneously with  $S_d$  or  $l_p$  in the same experiment seams as a more typical.

In the case of constant layer thickness d the quantity  $(\partial w(\phi)/\partial \phi)/S_d$  may be determined from the measured  $\phi$  and  $\phi_0$  via Eq. (2). The shape of potential, i.e. the quantity  $w(\phi)/S_d$ , may be obtained by integration of the found  $(\partial w(\phi)/\partial \phi)/S_d$ . The resulting integrated function differs by a constant coefficient  $1/S_d$  from the function  $w(\phi)$  which we have to determine. However a precise experimental determination of  $S_d$  demands the knowledge of  $(\partial w(\phi)/\partial \phi)/S_d$  in the whole interval of  $\phi$  variations  $-\pi/2 < \phi < \pi/2$ . So, a practical way of the  $W_s(\phi)$  restoration if the angular shape of potential is known only in a part of the interval  $-\pi/2 < \phi < \pi/2$  assumes an approximate determination of  $S_d$  with further refining of this quantity.

As a first step at this way one may use the potential shape for a small  $\varphi$  which is quadratic in  $\varphi$ , i.e.

$$\mathbf{w}(\varphi) = \mathbf{k}\varphi^2/2,\tag{7}$$

where the value of coefficient k is different for possible different surface anchoring potentials. Substituting (7) in the Eq. (2) one obtains

for the small  $\varphi$  the following linear dependence of  $\varphi$  on the free rotation angle  $\varphi_0(T)$ :

$$\varphi = \varphi_0(T)/(1 + k/S_d). \tag{8}$$

So, the inclination of the measured line in the dependence of  $\phi$  on the angle  $\phi_0(T)$  at small  $\phi$  determines  $S_d=k/(\phi_0(T)/\phi-1).$  To get the approximate value of  $S_d$  one has to accept the reasonable value for k. To estimate the possible reasonable choice for k note that for Rapini-Papoular model surface anchoring potential k=1 and for B-potential k=1/2 [3,20].

Another option to refine  $S_d$  and to check consistency of the actual surface anchoring potential restoration for a layer of fixed thickness is to exploit of the equation for the critical angle  $\varphi_c$  [20]

$$\varphi_0(\mathbf{T_c}) - \varphi_c = (\partial \mathbf{w}(\varphi)/\partial \varphi)/\mathbf{S_d} \tag{9}$$

at the jump point just before the jump, i.e. at  $\varphi = \varphi_c$ , and the equation for the angle  $\varphi_i$  [20], i.e. the value of  $\varphi$  just after the jump, i.e. at  $\varphi = \varphi_i$ 

$$\varphi_0(\mathbf{T_c}) - \varphi_i - \pi = (\partial \mathbf{w}(\varphi)/\partial \varphi)/\mathbf{S_d}. \tag{10}$$

The resulting equation checking consistency of the shape determination procedure does not contain  $S_d$  directly and takes the form:

$$(\partial \mathbf{w}(\varphi)/\partial \varphi)_{\varphi=\varphi_{\mathbf{c}}}/(\partial \mathbf{w}(\varphi)/\partial \varphi)_{\varphi=\varphi_{\mathbf{j}}} = (\varphi_{\mathbf{0}}(\mathbf{T}_{\mathbf{c}}) - \varphi_{\mathbf{c}})/(\varphi_{\mathbf{0}}(\mathbf{T}_{\mathbf{c}}) - \varphi_{\mathbf{j}} - \pi).$$

$$(11)$$

In the case of varying layer thickness d a similar estimate of  $l_p$  may be obtained via the inclination of line in a linear dependence of  $\varphi$  on d near to the points corresponding to  $\varphi=0$ . The corresponding equation has the following form:

$$\phi(d) = [2\pi S_{di}/(k+S_{di})](d-d_i)/p, \eqno(12)$$

where  $S_{di}$  is the value of parameter  $S_d$  for the middle of  $N_i$  Cano-Granjaen zone (or the layer thickness  $d_i = pN_i/2$ ), i.e.  $S_{di} = (2/N_i)l_p$ , where  $N_i$  is the number of Cano-Granjaen zone.

As an option to refine  $l_p$  and to check the estimated value of  $l_p$  consistency the relation between the coefficients in (12) for the neighboring Cano-Granjaen zones may be exploited:

$$A_{i+1} = A_i/(1+1/N_i)[1-Ai/2\pi(N_i+1)], \eqno(13)$$

where  $A_i = 2\pi S_{di}/(k+S_{di})$ .

As was already mentioned, at measurements in a wedge the range of the potential restoration is limited by  $\varphi_e$ . Because  $\varphi_e$  is decreasing with increasing of the Cano-Granjean zone number (local thickness

of the wedge) a maximal range of the actual surface anchoring potential restoration may be achieved by the restoration procedure described above in a wedge between first and second Cano-Granjean zones.

# Nonsingular Wall

As one saw above at smooth variations of the director orientation in one Cano-Granjean zone it is possible to restore the anchoring potential only at a limited range of director deviation from the alignment direction. However there is a principle opportunity to restore the potential in the missing range of director deviation from the alignment direction by measurements on the director distribution in a nonsingular wall between Cano-Granjean zones where the director orientation is changing fast enough while remaining continue. Nonsingular walls may exist between several first consecutive Cano-Granjean zones if the anchoring strength is weak enough [4]. It should be noted here that the term "relatively weak surface anchoring" really is related to the large values of the dimensionless parameter  $S_d = K_{22}/Wd$ , where K<sub>22</sub> is the elastic twist modulus, d is the layer thickness and W is the depth of the surface anchoring potential. So, at any strength of the anchoring a sufficiently thin layer (small d) insures the conditions of "relatively weak surface anchoring."

To determine the potential in the missing range  $\phi_{\rm e} < \phi < \pi/2$  one may exploit Eq. (1.13) in [4] connecting the coordinate derivative of  $\phi$  in the wall with the coordinate variation of the local free energy in the wall. Resolving the mentioned equation for a motionless wall relative  $W_{\rm s}(\phi)$  one obtains

$$\begin{split} [\mathbf{w}(\varphi) - \mathbf{w}(\varphi_e)] / \mathbf{S}_{\mathrm{d}} &= (1/2) [(\mathbf{K}_{\mathrm{w}} / \mathbf{K}_{22}) (\mathbf{d} / \mathbf{L}_{\mathrm{w}})^2 (\mathbf{d} \varphi / \mathbf{d} \mathbf{x})^2 + (\pi/2 - \varphi_e)^2 \\ &- (\pi/2 - \varphi)^2], \end{split} \tag{14}$$

where x is the dimensionless coordinate along the wedge surface perpendicular to the wall (the distance x is normalized by the wall thickness  $L_w)$  and  $K_w\!=\!K_{11}/6+(7/24)K_{33}.$  So,  $d\phi/dx$  calculated from the measured in the experiment  $\phi(x)$  allows to restore the shape of the potential in the range  $\phi_e<\phi<\pi/2.$ 

To find the normalizing factor W for the anchoring potential (depth of the potential well) one has to find the following difference of the quantities found from the experiment

$$W/2 = [w(\pi/2) - w(\varphi_e)]/S_d + w(\varphi_e)/S_d - w(0)/S_d,$$
(15)

where the first term in the right hand side of Eq. (15) has to be found according Eq. (14) (measurements in the wall) and the second and third terms have to be found from Eq. (6) (measurements in Cano-Granjean zone between two consecutive walls). The function  $w(\phi)/S_d$  found from the measurements being normalized by the factor W from (15) satisfies to Eq. (2) which allows to determine  $l_p$  from the measurements, for example at the point  $\phi = \phi_e$  and to check the consistency of the procedure with help of Eq. (13) and the formula

$$\varphi_{\rm e} - \pi/2 = (\partial \mathbf{w}(\varphi)/\partial \varphi)/\mathbf{S_d}$$
 (16)

at  $\varphi = \varphi_e$ , i.e. at the position of the wall at the wedge. So to fulfill the restoration procedure it is sufficient to perform the measurements at one Cano-Granjean zone.

In the case of a plane layer of varying thickness the consistency of the procedure may be verified by application of the formula

$$l_p = (p/d_j)S_d = -(p/d_j)\partial^2 w(\phi)/\partial^2 \phi \tag{17}$$

at the thickness d<sub>i</sub> corresponding to the jump.

Note that the procedure of the surface anchoring potential restoration described above for the case of fixed layer thickness (limited by the range  $0 < \varphi < \varphi_c$ ) may be also supplemented by the measurements at the wall in a plane layer based on Eq. (14). The measurements at the layer of fixed thickness supplemented by the measurements at the wall allow to restore the potential in the whole range of angles  $(0 < \varphi < \pi/2)$ . However, one has to keep in the mind that obtaining of a motionless wall in the layer demands additional experimental efforts. Another experimental difficulties (related both to a layer of constant and varying thickness) may arise due to a narrowness of the wall [4] which may occur to be less than the coordinate resolution of conventional optical methods. If the resolution of optical methods is insufficient a new direct method of the director orientation determination [8,9] may be applied which gives hope to resolve the coordinate dependence of the director orientation inside the wall.

The options to check consistency of the actual surface anchoring potential restoration for the case of fixed layer thickness are presented by Eqs. (9–11).

## DIRECTOR DYNAMICS

Other options to restore the surface anchoring potential are connected with experimental studies of the director dynamics at weak surface anchoring. We examine below the dynamics of a pitch jump and motion of nonsingular wall in a planar layer. The corresponding experiments seem to be more complicated than the static ones and include additional parameter of LC, namely the twist viscosity, however they also allow to restore the actual surface anchoring potential directly from the experiment.

# **Jump Dynamics**

If above in the static examinations of the anchoring the temporal characteristics of the jump were irrelevant to the surface anchoring restoration the temporal characteristics of the jump in dynamic studies become very informative on the surface anchoring in general and on the shape of surface anchoring potential in particular. This was demonstrated in papers [4,20] where the calculations of the temporal development of the jump for Rapini-Papoular and B-like model surface anchoring potentials reveal essential difference of the jump dynamics for these potentials.

We shall find below the connection of the temporal variation of the director rotation velocity at the surface with the actual surface anchoring potential following the approach of [4,20] and discuss the potential restoration procedure based on the experimental measurements.

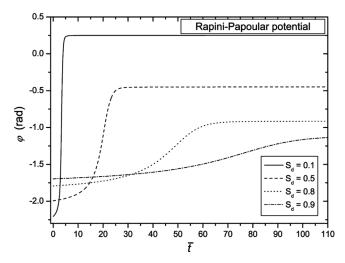
So, we shall examine the jump of the pitch in a CLC layer (with the same surface conditions as above) initiated by variation of the external agent, for example the temperature, which starts at the critical angle  $\varphi_c$  and ends at the angle  $\varphi_j$  corresponding to the equilibrium state for the current value of the temperature. To find an explicit connection of the potential to the jump dynamics we assume like in [4,20] that the jump proceeds in a quasi static regime, i.e. that at any moment in the course of the jump the director distribution in the layer is determined by the static relations between the director distribution in the layer and the current pitch value.

From the Eq. (24) in [20] and Eq. (1) one easily finds

$$\partial w(\phi)/\partial \phi = -\{(d\phi/dt)/[(3/\gamma_1 d)W] + S_d[\phi - \phi_0(T)]\}, \eqno(18)$$

where  $\gamma_1$  is the twist viscosity.

The Eq. (18) is valid for  $\varphi$  changing from  $\varphi_c$  to  $\varphi_j$ . The angular interval  $(\varphi_c, \varphi_j)$  may include the interval  $(0, \pi/2)$  or  $(-0, -\pi/2)$ . In this case the Eq. (18) determines  $\partial w(\varphi)/\partial \varphi$  (and  $w(\varphi)$  by a consequent integration) via measured  $\varphi(t)$  (and calculated  $d\varphi/dt$  from  $\varphi(t)$ ) in the whole interval of  $w(\varphi)$  determination. Note that  $w(\varphi)$  is

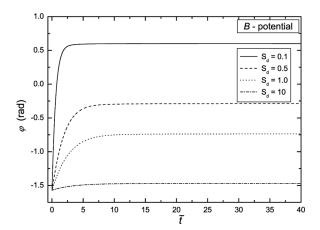


**FIGURE 5** Temporal behavior of the director orientation angle  $\varphi$  at the surface during a jump for the R-P-potential at the indicated values of  $S_d$  [19].

an even function of  $\varphi$  so it is sufficient to determine it in the interval  $(0,\pi/2)$  or  $(-0,-\pi/2)$  only. If the interval  $(0,\pi/2)$  or  $(-0,-\pi/2)$  is not overlapped by the measured  $\varphi(t)$ ,  $\partial w(\varphi)/\partial \varphi$  may be restored in the part of the interval  $(0,\pi/2)$ . Here we assume for simplicity that W (i.e.  $S_d$ ) is known. Otherwise one has to apply the procedure of refining  $S_d$  similar to the one described above. To illustrate at what extent the jump dynamics is sensitive to the shape and the strength of the anchoring potential we present at Figures 5, 6 the corresponding calculations [20] performed for R-P and B-model surface anchoring potentials.

# Motion of a Nonsingular Wall

Information on the actual surface anchoring potential which may be obtained from the measurements on moving nonsingular walls [2,4,16] is restricted by the angular interval less than  $(0, \pi/2)$ . Moreover, the corresponding experimental measurements look as rather complicated. Nevertheless we discuss here the simplest option for the surface anchoring potential restoration in a limited angular range related to the motion of a flat nonsingular wall studied in [2,4,16]. As it was shown in the cited papers a flat wall is mowing with constant velocity  $v_s$  which relates to the difference of the free energies per unit area at the both sides of the wall  $\Delta F$  through the



**FIGURE 6** Temporal behavior of the director orientation angle  $\varphi$  at the surface during a jump for the B-potential at the indicated values of  $S_d$  [19].

relation [4,16]

$$v_s = -\Delta F/(\gamma_1 V), \tag{19}$$

where V is, so called, the dissipation integral determined by the formula

$$V=\int [(d {\cal O}(x,z)/dx)^2] dz dx, \eqno(20)$$

where the integration is carried out along the whole layer,  $\emptyset(x,z)$  is the local director twist angle (dependent on the coordinate x along the wall motion and coordinate z determining the distance from the layer surface).

The quantity  $\Delta F$  in (19) depends on the differences of elastic energies per unit area of the layer (second term in the right side of Equation (1)) and surface anchoring energies at two different values of  $\varphi$  at two sides of a moving wall. The differences of elastic energies may be calculated and inserted into  $\Delta F$  in (19) which presents the opportunity to connect the differences of elastic energies with the velocity  $v_s$ . This presents, in principle, an option to restore the actual surface anchoring potential if measurements of the velocity  $v_s$  are performed for sufficiently large number of different  $\varphi$  at two sides of a moving wall (different values of  $\varphi_0(T)$ ] in Eq. (1)). However this procedure looks as a too tricky and we point out another more clear option, namely the measurements of  $v_s$  versus  $\varphi$  close too  $\varphi_e$  for very low  $v_s$  (at almost motionless wall for which  $\varphi = \varphi_e$ ). Remind, that

motionless walls occur at the layer thickness equal to (n+1/2)p, i.e. at  $\phi_0(T)=\pi/2$ , where n is integer and the equilibrium angle  $\phi_e$  is dependent on the layer thickness [4].

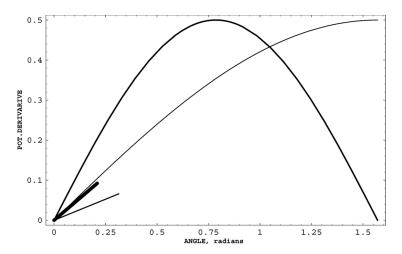
At the mentioned restrictions Eq. (19) reduces to

$$v_s = -2(\varphi_0(T) - \pi/2)(\partial W_s(\phi)/\partial \phi)_{\phi = \phi_s}/(\gamma_1 V)). \tag{21}$$

Equation (21) shows that for  $\varphi_0$  close to  $\pi/2$  the wall velocity  $v_s$  is linearly dependent on  $(\varphi_0-\pi/2)$  and is proportional (with a known proportionality factor) to the angular derivative of the surface anchoring potential at the angle  $\varphi=\varphi_e$ . Thus, measuring of the proportionality coefficient in the dependence of  $v_s$  on  $\varphi_0(T)$  (pitch value) for various layer thicknesses and values of pitch one is able to restore through Eq. (21) the derivative of the surface anchoring potential in the interval of  $\varphi$  determined by accessible experimental values of  $\varphi_e$  [4]. Note, that applying Eq. (21) for restoration of the surface anchoring potential one has to take into account the dependence of the dissipation integral V (see Eq. (20)) on the layer thickness [4].

### EXPERIMENTAL DATA

Let us look briefly what information on the surface anchoring potential can be obtained from the available experimental data. Begin from the paper [19] where the temperature dependence of the director orientation on the surface of a plane CLC layer was measured and the measured data where used to determine the parameter  $S_d$  in assumption that the anchoring is described by R-P-potential. The number of experimental points was insufficient to restore the potential without some assumption on the potential shape however it was possible to determine the coefficient in Eq. (7) and to estimate the angle  $\varphi$ at the jump points. One gets from the data presented in [18] the following values:  $S_d = 0.14$ , k = 0.21 and  $\varphi_c \ge \pi/10$ . The measurements of a nematic layer twisting by mechanical rotation of a cell plate in [11] where the data on layer twisting versus plate rotation, on jump points and hysteresis were presented may be used also to get some information on the surface anchoring potential. The corresponding data are insufficient to restore the potential outside the range of small deviation angles  $\varphi$ , due to insufficiently weak anchoring however it was possible to determine the coefficient in Eq. (7) and to measure the angle  $\varphi$  at the jump points and estimate  $S_d$ . One gets from the data presented in [19] the following values:  $S_d = 0.12$  for R-P-potential,  $S_d = 0.06$  for B-potential, k = 0.44 and  $\varphi_c \ge \pi/15$ . The angular dependence of the potential derivative estimated from the papers [12,19]



**FIGURE 7** Restored from the experiment [19] (thin line) and experiment [12] (bold line) derivative of the normalized surface anchoring potential (see the text after Eq. (2)) versus director deviation angle  $\varphi$  together with the same derivative for R-P (bold line) and B-model potentials.

together with the corresponding dependences for R-P- and B-potentials are presented at Figure 7. Note that the estimated dependences are presented in a limited angular interval due to small values of the angle  $\varphi$  at the jump points. Point out also that the measured values of the angle  $\varphi$  at the jump (and after jump) points (Fig. 10 in [12] and Fig. 4 in [19]) satisfy reasonable well to the self-consistency Eq. (11) for the surface anchoring potential of the shape presented by Eq. (7).

In the both papers [12,19] the jump angle is essentially less than the critical angle  $\varphi_c$  corresponding to the found parameters in the experiments: for B-potential  $\varphi_c = \pi/2$ , for R-P-potential  $\varphi_c = [\arccos(-S_d)]/2$  [3,12]. The lower estimate of the critical angles corresponding to R-P-potential for the both experiment is  $\varphi_c \sim \pi/4$ . The most probable reason for this discrepancy between the theory and experiment is the influence of thermal fluctuations [12,21] which reduce the value of the jump angle moving it to  $\varphi_e$ . Note, that the estimated value of the coefficient k in Eq. (7) shows that the bottom of the actual surface anchoring potential well is more flat than for R-P- and B-potentials. The evident conclusion from the presented experimental data is the need to perform new experiments at weaker anchoring or at thinner samples for the restoration of the actual surface anchoring potential.

Concerning the experiments on dynamics there are no publications up to now containing data relevant to the discussed procedure of the actual surface anchoring potential restoring.

#### CONCLUSION

The progress in the restoring of the actual surface anchoring potential discussed above shows that up to date there was no measurements which allow to restore the actual potential in sufficiently large angular range where the difference of its possible functional dependence on the director deviation angle from the easy axis may be distinguished. However due to the progress in obtaining of ultra weak surface anchoring [6,7] and the development of new technique of high resolution of the director field distribution [8,9] the problem of model independent surface anchoring potential restoration in large angular range from the corresponding measurements became quite solvable. Really, the anchoring energy as low as  $10^{-7}$ – $10^{-5}$ J/m<sup>2</sup> was achieved [6,7] and the director orientation space resolution as low as 1–0.1 mkm was achieved [8,9]. An additional favorable conditions for the potential restoration in wide angular range may be reached not only by further lowering of the anchoring energy but also by use of more thin sample and more short pitch CLC because the governing parameter of the problem is not simple the anchoring strength but the dimensionless parameter  $S_d$  determined in (2).

As the experiment [10,12,19] and the discussed values of the related parameters of the problem show the restoration of the anchoring potential shape up to the critical (jump) angle is achievable by traditional optical measurements in the range of smooth director deviations from the easy axis (in a wedge or at variations of external agent, i.e. temperature, field and so on). Concerning the director deviation angles which are connected with a pitch jump (or nonsingular wall) [2–4] the restoration of the anchoring potential in the corresponding angular range looks as a more complicated one from the experimental point of view due to a small wall thickness what demands rather high space resolution of the measurements. As an alternative to the traditional optical methods here may be applied discussed in the previous sections dynamical measurements and already mentioned a new technique of the director distribution measurements [8,9]. Without any doubts the experience of easy axis gliding studies [7,22–26] may be also useful here.

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#### **APPENDIX**

### **NEW MODEL SURFACE ANCHORING POTENTIALS**

For the purpose of easy reference we give below expressions for the Rapini-Papoular (R-P), so called, B-potential recently introduced in [2,19], and the narrow angular width R-P-like and B-like model surface anchoring potentials introduced in [3] (see Fig. 1).

The B-potential [2,19] is given by the formula:

$$W_s(\phi) = -W[\cos^2(\phi/2) - 1/2], \quad if - \pi/2 < \phi < \pi/2. \eqno(A.1)$$

The period of the B-potential is  $\pi$ , i.e.  $W_s(\phi + \pi) = W_s(\phi)$ .

The narrow  $B_n$ -potential (n > 1):

$$\begin{split} W_s(\phi) &= -W(cos^2(n\phi/2)-1/2), \quad if -\pi/2n < \phi < \pi/2n, \\ W_s(\phi) &= \qquad \qquad if \ \pi/2n|\phi| < \pi/2, \end{split} \tag{A.2}$$

and continued periodically to  $|\phi| > \pi/2$  (see Fig. 1), according to the relation  $W_s(\phi) = W_s(\phi - \pi)$ , where n > 1 (n = 1 corresponds to the B-potential).

The free energy (1) for the B<sub>n</sub>-potential accepts the:

$$\begin{split} F(T)/W\!=&-(cos^2(n\phi/2)-1/2)+(S_d/2)[\phi-\phi_0(T)]^2 \ if -\pi/2n\!<\!\phi\!<\!\pi/2n, \\ F(T)/W\!=&(S_d/2)[\phi-\phi_0(T)]^2 \qquad \qquad if \,\pi/2n\!<\!|\phi|\!<\!\pi-\pi/2n. \end{split} \tag{A.3}$$

By a similar way, as  $B_n$ -potential, is determined the narrow  $R\text{-}P_n$ -potential:

$$\begin{split} W_s(\phi) &= -(W/2)cos^2(n\phi), \quad if - \pi/2n < \phi < \pi/2n, \\ W_s(\phi) &= 0, \qquad \qquad if \ \pi/2n < |\phi| < \pi/2, \end{split} \tag{A.4}$$

and continued periodically to  $|\phi|>\pi/2$  (see Fig. 1b), according to the relation  $W_s(\phi)=W_s(\phi-\pi)$ , where n>1 (n=1 corresponds to the R-P-potential).

The free energy (1) for the  $R\text{-}P_n\text{-}potential}$  accepts the following form:

$$\begin{split} F(T)/W &= -(cos^2(n\phi) + S_d[\phi - \phi_0(T)]^2/2, \quad if - \pi/2n < \phi < \pi/2n, \\ F(T)/W &= (S_d/2)[\phi - \phi_0(T)]^2, \qquad \qquad if \, \pi/2n < |\phi| < \pi - \pi/2n. \end{split} \tag{A.5}$$

One has to keep in mind that the B-potential, being an alternative to the R-P-potential, is some simple and convenient idealization of the physically acceptable surface anchoring potential. Namely, the Bpotential has a discontinuous first derivative at the maximum point (edge of the potential well), and thus the curvature is infinitely large. However, one should accept it as a simple model for a class of possible potentials with a very sharp maximum (i.e. very large but finite curvature at the well edge). Concerning the R-P-like and B-like surface anchoring potentials with a narrow angular potential well they are natural generalizations of the R-P- and B-potentials which may be useful in the case of a liquid crystal limited, for example, by a single crystal. In this case more than one alignment direction exists, so the widths of anchoring potential well corresponding to each alignment direction have to be less than  $\pi$ . If one alignment direction is much "stronger" than all other ones, it is possible in the first approximation to neglect by all surface anchoring wells except the one related to the "strong alignment direction." As a result one obtains the potential of  $R-P_n$ - and  $B_n$ -type discussed here.